PTO/SB/08a (08-03.)

Approved for use through 07/31/2006, 0MB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10681765	
	Filing Date		2003-10-08	
	First Named Inventor Holge		ger Winkelmann	
	Art Unit		1746	
	Examiner Name	N/A		
	Attorney Docket Numb	er	GP-302839-FCA-CHE	

					U.S.	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue	Date	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	4609038		1986-0	9-02	Ishikawa et al				
If you wisi	n to a	dd additional U.S. Pate	nt citatio	n inform	nation p	lease click the	Add button.			
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS	-		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	Name of Patentee or Applican of cited Document		Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
	1	20020098396	A1	2002-07	7-25	Kobayashi et al				
If you wish	to ac	dd additional U.S. Publi	shed Ap	plication	r citatio	n information p	lease click the Ado	butto	on.	
				FOREI	GN PAT	ENT DOCUM	ENTS			-
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code4	nd Date Name of Patentee or Applicant of cited Document		or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1									
If you wish	to ad	ld additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	buttor	1	1
			NON	-PATE	NT LITE	RATURE DO	CUMENTS			
Examiner Initials*	Cite No	Include name of the at (book, magazine, journ publisher, city and/or of	nal, seria	ıl, symp	osium, d	catalog, etc), d	the article (when an late, pages(s), volu	propr me-is:	iate), title of the item sue number(s),	T 5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10681765	10681765		
Filing Date	2003-10-08	2003-10-08		
First Named Inventor	Holger Winkelmann			
Art Unit	1746			
Examiner Name	N/A			
Attorney Docket Numb	GP-302839-FCA-CHE	=		

1		
If you wish to add ad	ditional non-patent literature document citation information please click t	the Add button
	EXAMINER SIGNATURE	
Examiner Signature	Date Consi	idered
*EXAMINER: Initial is citation if not in conf	f reference considered, whether or not citation is in conformance with MF ormance and not considered. Include copy of this form with next commu	PEP 609. Draw line through a inication to applicant.
Standard ST.3). 3 For Jaj	TO Patent Documents at www.USPTO.GQV or MPEP 901.04. ² Enter office that issued the canese patent documents, the indication of the year of the reign of the Emperor must prece appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible on is attached.	ede the serial number of the patent document

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10681765		
Filing Date		2003-10-08		
First Named Inventor	Holge	er Winkelmann		
Art Unit		1746		
Examiner Name	N/A			
Attorney Docket Number		GP-302839-FCA-CHE		

CERTIFICATION STATEMENT								
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
×	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OF	2							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached ce	rtification statement.						
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	1.					
	None							
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Signature		/Charles H. Ellerbrock/	Date (YYYY-MM-DD)	2007-02-27				
Name/Print Charles H. Ellerbrock		Charles H. Ellerbrock	Registration Number	32981				
pub 1.14 app	lic which is to file 4. This collection lication form to the	rmation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an applicatio is estimated to take 1 hour to complete, inclu- e USPTO. Time will vary depending upon the iis form and/or suggestions for reducing this t	 n. Confidentiality is gover ding gathering, preparing a e individual case. Any con 	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed nments on the amount of time you				

Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,**

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.